

## UTILITY PATENT APPLICATION TRANSMITTAL—NO FEE

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

17681 U.S. PTO



112503



Sir:

Transmitted herewith for filing is the

- |                                     |  |                                     |   |
|-------------------------------------|--|-------------------------------------|---|
| <input checked="" type="checkbox"/> | patent application of                      | <input checked="" type="checkbox"/> | divisional application of                     |
| <input type="checkbox"/>            | continuation application of                | <input type="checkbox"/>            | prior application information: Examiner _____ |
| <input type="checkbox"/>            | continuation-in-part patent application of | <input type="checkbox"/>            | _____   |

Inventor(s)/Applicant Identifier: **Bocian, David F. et al.**For: **HIGH DENSITY NON-VOLATILE MEMORY DEVICE**

- ☒ For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can be relied upon when a portion has been inadvertently omitted from the submitted application parts.
- ☒ This application claims priority from each of the following Application Nos./filing dates: **10/053,814 Filed January 18, 2002 and 09/346,228 Filed July 1, 1999**, the disclosure(s) of which is (are) incorporated by reference.
- ☐ Please amend this application in accordance with the enclosed preliminary amendment, which adds the following before the first sentence: "This application is a ☐ continuation ☐ divisional ☐ continuation-in-part of and claims the benefit of U.S. Application No. \_\_\_\_\_, the disclosure of which is incorporated by reference for all purposes."

Enclosed are:

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> 139 pages of the description (including specification, claims and abstract)                            | <input type="checkbox"/> Request to not publish application after 18-months form   |
| <input checked="" type="checkbox"/> 25 sheet(s) of <input type="checkbox"/> formal <input checked="" type="checkbox"/> informal drawing(s) | <input type="checkbox"/> notification of change of <input type="checkbox"/> power of attorney <input type="checkbox"/> correspondence address filed in prior application |
| <input checked="" type="checkbox"/> 01 abstract  | <input checked="" type="checkbox"/> Patent Application Filing Acknowledgement postcard.  |
| <input checked="" type="checkbox"/> 115 number of claims   | <input type="checkbox"/> 1 extra copy of this sheet is enclosed  |
| <input type="checkbox"/> an assignment of the invention to _____   | <input type="checkbox"/> a verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27  |
| <input checked="" type="checkbox"/> Declaration (Copy from parent)   | ( ) is enclosed  |
| <input checked="" type="checkbox"/> a Power of Attorney (Copy from parent)   | ( ) was filed in the prior application and small entity status is still proper and desired   |
| <input type="checkbox"/> a certified copy of a _____ application   | <input checked="" type="checkbox"/> Small Entity Status is Claimed   |
| <input checked="" type="checkbox"/> cover sheet  | <input checked="" type="checkbox"/> Preliminary amendment  |
| <input checked="" type="checkbox"/> Information Disclosure Statement under 37 CFR 1.97   |  |
| <input checked="" type="checkbox"/> Application Data Entry Sheet   |  |

In view of the Unsigned Declaration as filed with this application and pursuant to 37 CFR §1.53(f),  
Applicant requests deferral of the filing fee until submission of the Missing Parts of Application.

DO NOT CHARGE THE FILING FEE AT THIS TIME.

Tom Hunter, J.D., Ph.D.

Reg No.: 38,498

Applicant's Representative

CORRESPONDENCE ADDRESS:  
QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.  
P.O. Box 458  
Alameda, CA 94501  
Telephone: (510) 337-7871  
Fax: (510) 337-7877

Customer No. 22798



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PATENT TRADEMARK OFFICE

Attorney Docket No. 407T-894302US

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Date of Deposit: November 25, 2003

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above, addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

By:

  
Chianti Appling